



#4/5/2000
1-22-02

PATENT

Attorney Docket No.: 0263-4051

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Yasushi SATO

Serial No.: 09/914,641

Group Art Unit: 1645

Filed: August 30, 2001

Examiner: TBA

For: LONG-TERM STABILIZED FORMULATIONS

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JAN 16 2002

REQUEST FOR CORRECTED FILING RECEIPT

TECH CENTER 1600/2900

Commissioner of Patents
Washington, D.C. 20231

**ATTN: Office of Initial Patent Examination's
Customer Service Center**

Sir:

Applicants hereby request a corrected Filing Receipt for the above-identified application. Attached are a photocopy of the original Filing Receipt with the errors marked in red ink, a copy of the TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 filed on December 28, 2000, and a copy of the Verified Certification of Express Mailing Date.

Please issue a new Filing Receipt with the following changes:

(1) Please change the title of the invention from "PREPARATIONS STABILIZED OVER LONG TIME" to -LONG-TERM STABILIZED FORMULATIONS—

[X] PTO ERROR: As the errors were made by the Patent and Trademark Office, it is believed that no fee is due. However please credit or debit Deposit Account No. 13-4500, Order No. 0263-4051, as necessary to effect entry of the attached corrections.

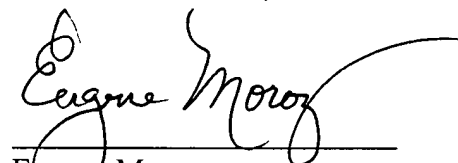
The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment to Deposit Account No. 13-4500, Order No. 0263-4051.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

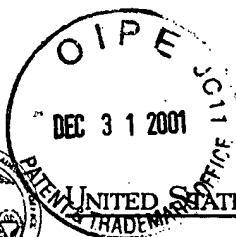
Dated: November 14, 2001

By:


Eugene Moroz
Registration No. 25,237

CORRESPONDENCE ADDRESS:

Morgan & Finnegan L.L.P.
345 Park Avenue
New York, New York 10154-0053
212-758-4800 (Telephone)
212-751-6849 (Facsimile)

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Page 1 of 2

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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/914,641	08/30/2001	1645	1360	0263-4051	3	30	7

CONFIRMATION NO. 9688**FILING RECEIPT**

OC000000006907572

Morgan & Finnegan
345 Park Avenue
New York, NY 10154

Date Mailed: 10/18/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Yasushi Sato, Tokyo, JAPAN;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/JP00/01160 02/29/2000

Foreign Applications

JAPAN 11/52314 03/01/1999

Projected Publication Date: Not Applicable, filed prior to November 29, 2000**Non-Publication Request:** No**Early Publication Request:** No**Title**LONG-TERM STABILIZED FORMULATIONS
Preparations stabilized over long time**Preliminary Class**

435

CASE 0263-4051 ATTY KA
 INFORMATION DISCLOSURE
 STATEMENT _____
 FOREIGN FILING _____
 CONVENTION DATE EXPIRES _____

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 DOCKET DEPT.
 2001 OCT 23 P 2:40
 MORGAN & FINNEGAN LLP



1600
JC07 Rec'd PCT/PTO 31 DEC 2001

PCT

PATENT
Docket No. 0263-4051

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Yasushi SATO

Serial No.: 09/914,641

Group Art Unit: 1645

Filed: August 30, 2001

Examiner: TBA

For: LONG-TERM STABILIZED FORMULATIONS

CERTIFICATE OF MAILING (37 C.F.R. §1.8(a))

Attention: Office of Initial Patent Examination's Customer Service Center
Commissioner for Patents
Washington, D.C. 20231

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Sir:

I hereby certify that the attached:

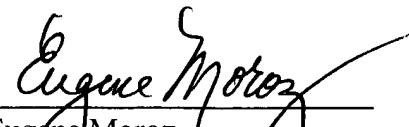
1. Request for Corrected Filing Receipt;
2. Copy of the Official Filing Receipt with the changes marked in red thereon;
and
3. Copies of the Verified Certification of Express Mailing Date and the Transmittal Letter to the DO/EO/US under § 371 as filed, reflecting the correct title.

along with any paper(s) referred to as being attached or enclosed and this Certificate of Mailing are being deposited with the United States Postal Service on date shown below with sufficient postage as first-class mail in an envelope addressed to the: Attention: Office of Initial Patent Examination's Customer Service Center, Commissioner for Patents, Washington, D.C., 20231.

Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.

Dated: November 14, 2001

By:


Eugene Moroz
Registration No. 25,237

CORRESPONDENCE ADDRESS:

Morgan & Finnegan L.L.P.
345 Park Avenue
New York, New York 10154-0053
212-758-4800 (Telephone)
212-751-6849 (Facsimile)

IN THE UNITED STATES

COPY

☐ RECEIVING OFFICE (DO/US)
☒ DESIGNATED OFFICE (DO/US)
☒ ELECTED OFFICE (EO/US)

INTERNATIONAL APPLICATION NO. PCT/JP00/01160
INTERNATIONAL FILING DATE 29 February 2000 (29.02.00)
PRIORITY DATE CLAIMED 01 March 1999 (01.03.99)
TITLE OF INVENTION
LONG-TERM STABILIZED FORMULATIONS
APPLICANT(S)
Yasushi SATO

Commissioner for Patents
Box PCT
Washington, D.C. 20231
Attention: DO/EO/US



VERIFIED CERTIFICATION OF EXPRESS MAILING DATE
(INTERNATIONAL APPLICATION (37 CFR 1.10(c)))

EL762622809US RECEIVED

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I declare that on 30 August 2001 I deposited with the United States Postal Service in an envelope "Express Mail, Post Office to Addressee", bearing Label Number EL762622809US, addressed to the "Commissioner for Patents, Box PCT, Washington, D.C. 20231, Attention: DO/EO/US" and having an express mail certification which I executed, the following papers:

International application, consisting of:

PCT Request – Four (4) Sheets with verification of translation
Check in the amount of \$1,400.00
Notification Concerning Submission of Transmittal of Priority Document
1st page of Published Application W0 00/51629
Translation of International Preliminary Examination Report (5 pages)
Notification Informing Applicant of the Communication of the International Application to the Designated Offices.
Notification Concerning Elected Offices Notified by Their Election.
☒ Executed Declaration and Power of Attorney
Specification 30 pages, claims 4 pages and 1 page abstract, three sheets of drawings
Transmittal letter to US Designated/Elected Office Concerning Filing under 35USC 371
☒ Assignment & Recordation (3 pages)
☒ Information Disclosure Statement and form 1449 with ten (10) references.
Preliminary Amendment
Return postcard.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application of any patent issuing thereon.

Date August 30, 2001

Joan E. Federici

(Typed or printed name of person making this verified statement)

(Signature of person making this verified statement)

(Verified Certification of Express Mailing Date (International Application) [13-12])

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE
CONCERNING A FILING UNDER 35 U.S.C.

COPY

INTERNET Docket NUMBER

0263-4651

U.S. APPLICATION NO. (If known, see 37 CFR 1.51)

TBA

INTERNATIONAL APPLICATION
PCT/JP00/01160

INTERNATIONAL FILING DATE
29 February 2000 (29.02.00)

PRIORITY DATE CLAIMED
01 March 1999 (01.03.99)

TITLE OF INVENTION

LONG-TERM STABILIZED FORMULATIONS

APPLICANT(S) FOR DO/EO/US

Yasushi SATO

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371 (b) and PCT Articles 22 and 39 (1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is transmitted herewith.
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (executed)
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included.

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.

☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or Information:

Copies of the following:

PCT Request – Four (4) Sheets with verification of translation

Check in the amount of \$1,400.00

Notification Concerning Submission of Transmittal of Priority Document

1st page of Published Application W0 00/51629

Translation of International Preliminary Examination Report (5 pages)

Notification Informing Applicant of the Communication of the International Application to the Designated Offices.

Notification Concerning Elected Offices Notified by Their Election.

Verified certificate of express mail No.: EL762622809US

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PCT/JP00/01160

02051

17. ☒ The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):

Neither international preliminary examination fee (37 CFR 1.482)
nor international search fee (37 CFR 1.445(a)(2) paid to USPTO
and International Search Report not prepared by the EPO or JPO \$1000.00

International preliminary examination fee (37 CFR 1.482) not paid to
USPTO but International Search Report prepared by the EPO or JPO \$860.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO
but international search fee (37 CFR 1.445(a)(2) paid to USPTO \$710.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)
but all claims did not satisfy provisions of PCT Article 33 (1) - (4) \$690.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)
and all claims satisfied provisions of PCT Article 33(1) - (4) \$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

CALCULATIONS PTO USE ONLY



\$860.00

Surcharge of \$130 for furnishing the oath or declaration later than ☐20 ☐30
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$--

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total claims	30- 20 =	10	X \$18.00	\$ 180.00
Independent claims	7 - 3 =	4	X \$80.00	\$- 320.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00	

TOTAL OF ABOVE CALCULATIONS = \$1,360.00

Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement
must also be filed (Note 37 CFR 1.9, 1.27, 1.28).

\$ 0.00

SUBTOTAL = \$1,360.00

Processing fee of \$130.00 for furnishing the English translation later than ☐20 ☐30
months from the earliest claimed priority date (37 CFR 1.492(f)).

\$--

TOTAL NATIONAL FEE = \$1,360.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property =

\$ 40.00

TOTAL FEES ENCLOSED \$1,400.00

Amount to be
refunded: \$
charged \$

- a. ☒ A check in the amount of \$1,400.00 cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. 13-4500 in the amount of \$0.00 to cover the above fees.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. 13-4500, ORDER NO. 0263-4051 . A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR
1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:
Morgan & Finnegan LLP
345 Park Avenue
New York, NY 10154-0053
Telephone: 212-758-4800
Telecopier: 212-751-6849

Eugene Mbroz
Eugene Mbroz
Registration Number 25,237